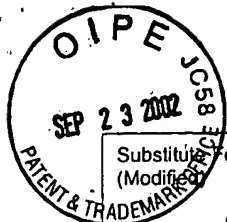


Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13445-008001	Application No. 10/057,440
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Steven G. Johnson et al.	
		Filing Date January 25, 2002	Group Art Unit 2874

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
m	AA	4,852,968	08/1989	Reed			
m	AB	5,185,827	02/1993	Poole			
	AC	5,261,016	11/1993	Poole			
	AD	5,448,674	09/1995	Vengsarkar et al.			
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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
h	AX	2,288,469	10/1995	Great Britain				

Examiner Signature <i>Hemang Sanghani</i>	Date Considered 5/24/04
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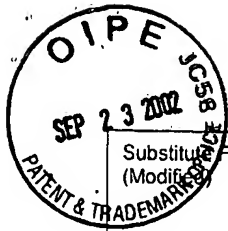


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							Yes	No
M	AY	0 060 085	09/1982	Europe				
	AZ	0 195 630	09/1986	Europe				
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	ADD	WO 94/09393	04/1994	WIPO				
	AEE	WO 94/16345	07/1994	WIPO				
	AFF	WO 97/01774	01/1997	WIPO				
	AGG	WO 99/47465	09/1999	WIPO				
	AHH	WO 99/49340	09/1999	WIPO				
	AII	WO 99/49341	09/1999	WIPO				
	AJJ	WO 00/22466	04/2000	WIPO				
	AKK	WO 00/51268	08/2000	WIPO				
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	ANN	WO 01/69295	09/2001	WIPO				
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M	ARR	Birks, et al., "Dispersion compensation using single-material fibers", IEEE Photo. Tech. Lett., 11, 1041 (1999).
M	ASS	C. M. de Sterke et al., "Differential losses in Bragg fibers," J. Appl. Phys., 76, 680-688 (1994).
M	ATT	C. Moeller, "Mode converters in the Doublet III ECH microwave system," Int. J. Electronics, 53, 587-593 (1982).
M	AUU	D. Marcuse et al., "Mode conversion caused by diameter changes of a round dielectric waveguide," Bell Syst. Tech. J., 48, 3217-3232 (1969).

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<i>W</i>	AVV	D. Marcuse, "Theory of dielectric optical waveguides," (Academic, New York, 1974).
	AWW	E. Luneville et al., "An original approach to mode converter optimum design," IEEE Trans. Microwave Theory Tech., 46, (1998).
	AXX	E. Mao et al., "Wavelength-selective semiconductor in-line fibre photodetectors," Electronics Letters, Vol. 36, No. 6, pp. 515-516, March 16, 2000.
	YYY	E. Marcatili et al., "Hollow metallic and dielectric waveguides for long distance optical transmission and lasers," Bell Syst. Tech. J., 43, 1783-1809 (1964).
	AZZ	E. Peral et al., "Supermodes of grating-coupled multimode waveguides and application to mode conversion between copropagating modes mediated by backward Bragg scattering," J. Lightwave Tech., 17, 942-947 (1999).
	AAAA	F. Brechet et al., "Analysis of bandpass filtering behavior of singlemode depressed-core-index photonic bandgap fibre," Elec. Lett., 36, 870-872 (2000).
	ABBB	F. Brechet et al., "Singlemode propagation into depressed-core-index photonic-bandgap fibre designed for zero-dispersion propagation at short wavelengths," Elec. Lett., 36, 514-515 (2000).
	ACCC	G. H. Childs, "50mm diameter TE ₀₁ mode helical waveguide optimization," Electronics Lett., 14, 140-141 (1978).
	ADDD	H. F. Taylor, "Bending effects in optical fibers," J. Lightwave Tech., 2, 617-628 (1984).
	AEEE	H. Kumric et al., "Optimized overmoded TE ₀₁ -to-TM ₁₁ mode converters for high-power millimeter wave applications at 70 and 140 GHz," Int. J. Infrared Millim. Waves, 7, 1439-1463 (1986).
	AFFF	H. Kumric et al., "Optimization of mode converters for generating the fundamental TE ₀₁ mode from TE ₀₆ gyrotron output at 140 GHz," Int. J. Electron., 64, 77-94 (1988).
	AGGG	H. Yajima, "Dielectric bypass waveguide mode order converter," IEEE J. Quantum Electronics, 15, 482-487 (1979).
	AHHH	I. Gannot, et al., "Current Status of Flexible Waveguides for IR Laser Radiation Transmission", IEEE J. Sel. Topics in Quantum Electr., IEEE Service Center, Vol. 2, No. 4, pp.880-888 (Dec 1996); XP000694378
	AIII	I. K. Hwang et al., "Long-period fiber gratings based on periodic microbends," Opt. Lett., 24, 1263-1264 (1999).
	AJJJ	I. Ogawa et al., "Design of a quasi-optical mode conversion system with variable output beam size," Int. J. Electron., 87, 457-467 (2000).
	AKKK	J. A. Harrington, "A review of IR transmitting, hollow waveguides", Fiber Integr. Opt. 19, 211-227 (2000).
	ALLL	J.C. Knight et al., "Photonic band gap guidance in optical fibers" Science 282, 1476-1478 (1998).
	AMMM	J. J. Refi, "Optical fibers for optical networking," Bell Labs Technical Journal, 4, 246-261 (1999).
	ANNN	J. N. Blake et al., "Fiber-optic modal coupler using periodic microbending," Opt. Lett., 11, 177-179 (1986).
	AOOO	J. S. Levine, "Rippled wall mode converters for circular waveguide," Int. J. Infrared Millim. Waves, 5, 937-952 (1984).
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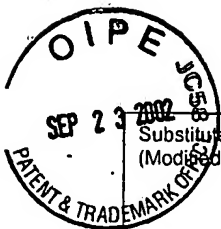
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Other Documents (include Author, Title, Date, and Place of Publication)

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M	AQQQ	J.W. Hahn et al., "Measurement of nonresonant third-order susceptibilities of various gases by the nonlinear interferometric technique," J. Opt. Soc. Am. B, 12, 1021-1027 (1995).
	ARRR	K. J. Bunch et al., "The helically wrapped circular waveguide," IEEE Trans. Electron Devices, 34, 1873-1884 (1987).
	ASSS	K. O. Hill et al., "Efficient mode conversion in telecommunication fiber using externally written gratings," Electron. Lett., 26, 1270-1272 (1990).
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	AXXX	M. Ibanescu et al., "An all-dielectric coaxial waveguide," Science, 289, 415-419 (2000).
	AYYY	M. J. Buckley et al., "A single period TE ₀₂ -TE ₀₁ mode converter in a highly overmoded circular waveguide," IEEE Trans. Microwave Theory Tech., 39, 1301-1306 (1991).
	AZZZ	M. J. Weber et al., "Measurements of the electronic and nuclear contributions to the nonlinear refractive index of beryllium fluoride glasses," Appl. Phys. Lett., 32, 403-405 (1978).
	AAAAA	M. Miyagi, et al., "Transmission characteristics of dielectric-coated metallic waveguides for infrared transmission: slab waveguide model", IEEE J. Quantum Elec. QE-19, 136-145 (1983).
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	ACCCC	M. Otsuka et al., "Development of mode converters for 28 GHz electron cyclotron heating system," Int. J. Electron, 70, 989-1004 (1991).
	ADDDD	M. Thumm, "High power millimeter-wave mode converters in overmoded circular waveguides using periodic wall perturbations," Int. J. Electron., 57, 1225-1246 (1984).
	AEEEE	Mitsunobu Miyagi et al., "Design theory of dielectric-coated circular metallic waveguides for infrared transmission," J. Lightwave Tech., Vol. LT-2, 116-126, April 1984.
	AFFFF	N. J. Doran et al., "Cylindrical Bragg fibers: a design and feasibility study for optical communications," J. Lightwave Tech., 1, 588-590 (1983).
	AGGGG	Pochi Yeh et al., "Theory of Bragg fiber," J. Opt. Soc. Am., Vol. 68, 1196-1201 September 9, 1978.
	AHHHH	R. F. Cregan et al., "Single-mode photonic band gap guidance of light in air," Science, 285, 1537-1539 (1999).
	AIIII	R.A. Abram et al., "Mode conversion in an imperfect waveguide," J. Phys. A, 6, 1693-1708 (1973).
	AJJJJ	S. Ahn et al., "Analysis of helical waveguide," IEEE Trans. Electron Devices, 33, 1348-1355 (1986).
	AKKKK	S. H. Yun et al., "All-fiber tunable filter and laser based on two-mode fiber," Opt. Lett., 21, 27-29 (1996).
	ALLLL	S.P. Morgan, "Theory of curved circular waveguide containing an inhomogeneous dielectric," Bell Syst. Tech. J., 36, 1209-1251 (1957).

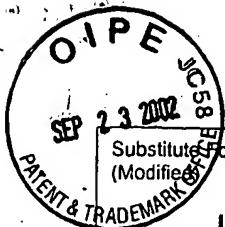
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Other Documents (include Author, Title, Date, and Place of Publication)		
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	ANNN	T. Iyama et al., "Propagation characteristics of a dielectric-coated coaxial helical waveguide in a lossy medium, IEEE Trans. Microwave Theory Tech., 45, 557-559 (1997).
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	AXXX	Yong Xu et al., "Asymptotic analysis of Bragg fibers," Optics Lett., Vol. 25, No. 24, pp. 1756-1758 December 15, 2000.
	AYYY	

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